

## United States Patent and Trademark Office



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.usplo.gov

APPLICATION NO.	F	ILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
10/666,884		09/19/2003	Anwer Basha	7128US01 6816		
23492	7590	12/23/2004		EXAMINER		
ROBERT I	DEBERA	RDINE	HUANG, EVELYN MEI			
ABBOTT L				ART UNIT	PAPER NUMBER	
100 ABBO		ROAD	,			
DEPT. 377/		60064 6008		1625		
ADBUILE	ABBOTT PARK, IL 60064-6008				DATE MAILED: 12/23/2004	

Please find below and/or attached an Office communication concerning this application or proceeding.

·	Application No.	Applicant(s)						
	10/666,884	BASHA ET AL.						
Office Action Summary	Examiner	Art Unit						
	Evelyn Huang	1625						
The MAILING DATE of this communication appears on the cover sheet with the correspondence address								
Period for Reply								
A SHORTENED STATUTORY PERIOD FOR REPLY THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication.  - If the period for reply specified above is less than thirty (30) days, a reply If NO period for reply is specified above, the maximum statutory period we Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	86(a). In no event, however, may a reply be time within the statutory minimum of thirty (30) days ill apply and will expire SIX (6) MONTHS from cause the application to become ABANDONE	nely filed s will be considered timely. the mailing date of this communication. D (35 U.S.C. § 133).						
Status	•							
1) Responsive to communication(s) filed on								
· _ · _ ·	-· action is non-final.							
3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits								
closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.								
Disposition of Claims								
4)⊠ Claim(s) <u>1-28</u> is/are pending in the application.								
	4a) Of the above claim(s) is/are withdrawn from consideration.							
5) Claim(s) is/are allowed.								
6) Claim(s) is/are rejected.	· · · · · · · · · · · · · · · · · · ·							
7) Claim(s) is/are objected to.								
8) Claim(s) 1-28 are subject to restriction and/or e	8) Claim(s) 1-28 are subject to restriction and/or election requirement.							
Application Papers								
9) The specification is objected to by the Examiner								
10)☐ The drawing(s) filed on is/are: a)☐ accepted or b)☐ objected to by the Examiner.								
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).								
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).								
11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.								
Priority under 35 U.S.C. § 119								
12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).								
a) All b) Some * c) None of:								
1. Certified copies of the priority documents have been received.								
2. Certified copies of the priority documents have been received in Application No								
3. Copies of the certified copies of the priority documents have been received in this National Stage								
application from the International Bureau (PCT Rule 17.2(a)).								
* See the attached detailed Office action for a list of the certified copies not received.								
Attachment(s)	_							
1) Notice of References Cited (PTO-892)	4) Interview Summary Paper No(s)/Mail Da							
<ul> <li>2) Notice of Draftsperson's Patent Drawing Review (PTO-948)</li> <li>3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)</li> </ul>		atent Application (PTO-152)						
Paper No(s)/Mail Date	6)  Other:	·						

Application/Control Number: 10/666,884

Art Unit: 1625

## Election/Restrictions

Page 2

1. Restriction to one of the following inventions is required under 35 U.S.C. 121:

- I. Claims 1-9, 22-23 in part drawn to a compound wherein Z is a 3, 6 diazabicyclo[3.2.1]octane, classified in class 544, subclass 349, and the composition thereof. If this group were elected, election of a species within the elected invention would be required.
- II. Claims 19, 20 and claim 1-9, 21-23 in part, drawn to a compound wherein Z is a 3, 8- diazabicyclo[3.2.1]octane or 3, 8 -diazabicyclo[4.2.0]octane, classified in class 546, subclass 113, and the composition thereof. If this group were elected, election of a species within the elected invention would be required.
- III Claims 10-18, and claims 21-23 in part, drawn to a compound wherein Z is a diazabicyclo[3.2.0]heptane or an octahydro- pyrrolo[3,4c]pyrrole, classified in class 548, subclass 453, and the composition thereof. If this group were elected, election of a species within the elected invention would be required.
- IV. Claims 1-7, 21, 23 in part, drawn to a compound not included in Groups I-III above, class and subclass various dependent on the species elected, and the composition thereof. Further restriction may be required.
- V. Claims 24-27, drawn to multiple methods of use with the inventive compound. If this group were elected, election of a species compound and a specific disease would be required. Further restriction may also be required.
- VI. Claim 28, drawn to multiple methods of use with the inventive compound in combination with an atypical antipsychotic. If this group were elected, election of

Art Unit: 1625

a species compound of the invention, a species antipsychotic and a specific disease would be required. Further restriction may also be required.

The inventions are distinct, each from the other because of the following reasons:

The compounds in Groups I-IV are structurally, chemically and patentably distinct. They have acquired a separate status in the art as shown by their different classification. A reference anticipating one group of compound would not render obvious the other groups of compounds. The search is not co-extensive and is burdensome. restriction for examination purposes as indicated is proper.

Inventions I-IV and V, VI are related as product and process of use. The inventions can be shown to be distinct if either or both of the following can be shown: (1) the process for using the product as claimed can be practiced with another materially different product or (2) the product as claimed can be used in a materially different process of using that product (MPEP § 806.05(h)). In the instant case, the inventive compound may be used in materially different processes for the treatment of Alzheimer's disease, neurodegeneration, schizophrenia etc.

The patentability of Group VI invention depends on the interaction, co-action, e.g. synergism etc. between the inventive compound and the atypical antipsychotic, which is patentably distinct from the Group V method using the inventive compound as the only active agent.

- 2. Because these inventions are distinct for the reasons given above and the search required for one group is not required for the other groups, restriction for examination purposes as indicated is proper.
- 3. Applicant is advised that the reply to this requirement to be complete must include an election of the invention to be examined even though the requirement be traversed (37 CFR 1.143).

Page 4

Application/Control Number: 10/666,884

Art Unit: 1625

4. Applicant is reminded that upon the cancellation of claims to a non-elected invention, the inventorship must be amended in compliance with 37 CFR 1.48(b) if one or more of the currently named inventors is no longer an inventor of at least one claim remaining in the application. Any amendment of inventorship must be accompanied by a request under 37 CFR 1.48(b) and by the fee required under 37 CFR 1.17(i).

5. The examiner has required restriction between product and process claims. Where applicant elects claims directed to the product, and a product claim is subsequently found allowable, withdrawn process claims that depend from or otherwise include all the limitations of the allowable product claim will be rejoined in accordance with the provisions of MPEP § 821.04. Process claims that depend from or otherwise include all the limitations of the patentable product will be entered as a matter of right if the amendment is presented prior to final rejection or allowance, whichever is earlier. Amendments submitted after final rejection are governed by 37 CFR 1.116; amendments submitted after allowance are governed by 37 CFR 1.312.

In the event of rejoinder, the requirement for restriction between the product claims and the rejoined process claims will be withdrawn, and the rejoined process claims will be fully examined for patentability in accordance with 37 CFR 1.104. Thus, to be allowable, the rejoined claims must meet all criteria for patentability including the requirements of 35 U.S.C. 101, 102, 103, and 112. Until an elected product claim is found allowable, an otherwise proper restriction requirement between product claims and process claims may be maintained. Withdrawn process claims that are not commensurate in scope with an allowed product claim will not be rejoined. See "Guidance on Treatment of Product and Process Claims in light of *In re Ochiai, In re Brouwer* and 35 U.S.C. § 103(b)," 1184 O.G. 86 (March 26, 1996). Additionally, in order to retain the right to rejoinder in accordance with the above policy, Applicant is advised that the process claims should be amended during prosecution either to maintain dependency on the product claims or to otherwise include the limitations of the product claims. **Failure to do so may result in a loss of the right to rejoinder.** 

Application/Control Number: 10/666,884

Art Unit: 1625

Further, note that the prohibition against double patenting rejections of 35 U.S.C. 121 does not apply where the restriction requirement is withdrawn by the examiner before the patent issues. See MPEP § 804.01.

6. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Evelyn Huang whose telephone number is 571-272-0686. The examiner can normally be reached on Tuesday-Friday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Cecilia Tsang can be reached on 571-272-0562. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Primary Examiner
Art Unit 1625